## Bio

Dr Marie Benton PhD (*NgātiMahuta*, *TūrangawaewaeMarae*, *TainuiWaka*) has had career in the global pharmaceutical industry as a pharmacologist and a pharmaceutical research director; and was contracted to NZQA for six years. Marie has wide research interests from a political, economic, social and cultural perspective through education and health lenses; with extensive research experience in both health and education.

# What are the Principles that make New Zealand's innovative quality assurance management such a tradable global commodity?

## **Abstract**

Firstly this article offers an overview to the development of the New Zealand Qualifications Authority (NZQA) as the Standard Setting Body (SSB) for New Zealand standards-based assessment and many national qualifications. Secondly, it introduces the principles of quality assurance management (QAM) and the influences underpinning the development of these principles. Then, thirdly, the article establishes their benchmarking as a reference point; and addresses the mandatory QAM principles required by NZQA. Fourthly, the article examines NZQA Accreditation, Quality Assurance and Moderation through their respective principles demonstrated through a comparative summary table. Finally a summary articulating New Zealand's innovative quality assurance management as a tradable commodity to support international education services in the highly competitive global economic market is presented.

Key words: principles, benchmarking, standards-based assessment, moderation

## **Introductory Background**

The New Zealand Qualifications Authority (NZQA) was established in 1991 with the key function of having an overview of qualifications in compulsory and post-compulsory education and training. This function was exercised initially through the development of the National Qualifications Framework (NQF), comprised of National Certificates and Diplomas and their component unit standards. NZQA has a legislative function within the Education Act 1989 to develop a framework for national qualifications to establish policies and criteria that will allow organisations to be granted accreditation to provide courses of study and training.

Dr Jim Frey commented at the NZQA 21<sup>st</sup> Century Conference at Victoria University Wellington as President, Senior Advisor and Founder, Educational Credential Evaluators, Inc. of Milwaukee that when educators and government officials use the term qualification, they generally have in mind that a qualification represents completion of some kind of educational transformation programme, or some type of training programme, or some kind of skills tests. Thus a qualification is generally represented by a certificate, diploma, degree, license, or some combination thereof.

Dr Andrew West, the NZQA Chief Executive in 1991 commented that the Qualification Authority's essential role will be to sustain the quality standard of New Zealand qualifications primarily through standards-based assessment. He commented that "this cannot simply be imposed and would like to see this type of quality assurance recognised as a valid

method of quality assurance management by the education sector and by all aspects of New Zealand's broader society".1

The NQF has now been expanded through the development of the New Zealand Register of Quality Assured Qualifications, TeĀhurutanga (the Register) introduced in 2001. A website called KiwiQuals is the public face of the Register introduced after extensive consultation and support from the New Zealand Vice-Chancellors' Committee (NZVCC) and the Institute of Technology and Polytechnics in New Zealand (ITPNZ).

The Register provides a comprehensive list of all quality assured qualifications in New Zealand and enhances New Zealand's capacity to benchmark qualifications internationally. NZQA is mindful of other activities that are happening internationally in terms of the transportability of qualifications (particularly within Europe).<sup>2</sup>

NZQA is constantly reviewing the standards-based assessment unit and achievement standards together with the numerous qualifications offered on the NQF. NZQA offers a variety of qualifications where there are set criteria which establish the minimum credit and level requirements for each type of qualification and these are wide ranging. They include a Certificate set at Levels one to four; Diploma set at Levels five and six; Bachelor degrees, Graduate Diplomas and Certificates set at Level 7; Postgraduate Diplomas and Certificates; Bachelor degrees with Honours set at Level 8; Masters degree set at level 9; and Doctorates set at Level ten. The following overview demonstrates NZQA's tertiary levels of achievement and the relative qualifications offered on the New Zealand Framework (NZF).

10	Doctorates
9	Masters Degrees
8	Postgraduate Diplomas and Certificates, Bachelors Degrees with Honours
7	Bachelors Degrees, Graduate Diplomas and Certificates
6	Diplomas
5	Diplomas
4	
3	Certificates
2	Certificates
1	

It is possible for a qualification to include credit achieved at levels above and below the level at which the qualification is registered. NZQA has the following requirements for approval for new or additional qualifications:

Must have the support of the nationally recognised bodies.

<sup>&</sup>lt;sup>1</sup>New Zealand Qualifications Authority, Qualifications in the 21 st Century: NZOA International Conference, VictoriaUniversityWellington, New Zealand 1991.

<sup>&</sup>lt;sup>1</sup> NZQA QAnews, May 2001.

<sup>&</sup>lt;sup>2</sup>NZOA The New Zealand Register of Quality Assured Qualifications, October 2007, p. 4.

- Meet the obligations of the Standard Setting Body (SSB) under the Treaty of Waitangi, and include a Māori dimension wherever that is appropriate.
- Not present any barriers based on gender, ethnic origin, disability, or unreasonable cost.
- Are different in purpose from any other registered on the NZF.
- Are flexible in structure where appropriate, and provide learners with attainable milestones, career options and a qualifications pathway.
- Recognise broad transferrable and generic skills as well as specialized and industry and professional skills.

# The Principles of Quality Assurance Management

Quality management is the systematic monitoring and continued evaluation to ensure that whatever is being offered is 'fit for purpose' and will be specific to the particular industry or service where the quality will be determined by those that are using it. Thus to ensure credibility principlesor standards will apply and will usually serve as a bench mark. In the global context NZQA is the quality assurance management process New Zealand's formally recognised educational achievements and the following summary table demonstrates the development of the Principles through Accreditation, Quality Assurance and Moderation.

Accreditation	Principle 1	Principle 2	Principle 3	Principle 4
	Partnerships	Continuity	Evolution	Process
Principle 1	Accreditation is	Accrediting	Accreditation	Accreditation
Partnerships	intended to	body is	should be sound	process involves
	protect the	expected to	educational	judging the
Principle 2	interests of	encourage	experimentation	degree to which a
Continuity	students	institutional	and constructive	program has
	benefit the	freedom,	innovation.	achieved the
Principle 3	public, improve	ongoing		goals and
Evolution	the quality of	improvement		objectives of its
	teaching,	of educational		stated training
Principle 4	learning,	institutions		model.
Process	research, and	and training		
	professional	programs.		
	practice through			
	an established			
	structure			
<u>Quality</u>	Principle 1	Principle 2	Principle 3	Principle 4
<u>Assurance</u>	Partnerships	Continuity	Evolution	Process
	Quality	Quality	Quality	Quality
Principle 1	Assurance sets	Assurance is	Assurance is	Assurance is to
Partnerships	out a standard	reaching a	documenting	measure, analyse,
	and/or a	certain level	the procedures	assess and
	reference point	of quality that	and staying	describe as
Principle 2	against which a	is	there.	measured against
Continuity	qualification (or	benchmarked		an agreed
D	a part thereof)			outcome or
Principle 3	can be			benchmark
Evolution	measured.			
Principle 4				

Process				
Moderation	Principle 1	Principle 2	Principle 3	Principle 4
	Partnerships	Continuity	Evolution	Process
Principle 1	Best practice	Best practice	Best practice	Best practice
Partnerships	moderation	moderation	moderation is	moderation
	occurs when it	occurs when it	an evolving and	occurs when the
Principle 2	is based on an	is an ongoing	educative	assessments are
Continuity	effective	process that	process for	fair, valid and at
	partnership	underpins	assessors and	the national
Principle 3	between	relationships	moderators.	standard.
Evolution	assessors and	between		
	between	assessors and		
Principle 4	assessors and	between		
Process	moderators.	assessors and		
		moderators.		

## **Conclusions**

Exactly what is the relevancy of State Owned Enterprises such as NZQA, or a semi autonomous Crown Entity such as the New Zealand Teachers Council; and Government Ministries such as the Ministry of Education to an ECE Centre? Firstly NZQA as the Standard Setting Body (SSB) for New Zealand provides a very wide range of assessment-based standards and qualifications that are internationally recognised. It may be that the education provider has its own qualifications and quality assurance management procedures; but all education providers are required to be accredited by NZQA; and regularly reviewed (previously audited) to maintain accreditation. And as part of the NZQA Review process is to maintain accreditation through validation of a robust quality assurance management process.

Thus quality assurance is through: skills assessment, method of assessment, validation of assessment and moderation of assessment in an NZQA accredited fit for purpose establishment. In short, it is through the assessment and moderation process of: pre-assessment, moderation, peer-moderation, post-moderation and check-moderation that can be both internal and external. The quality assurance management of standards-based assessment for most New Zealand qualifications is monitored through the policy, processes and procedures of NZQA. This process is conducted through a robust assessment and moderation programme that is both internally within the education provider and/or externally through contracted NZQA. NZQA is then accountable to the Minister for Education and the Minister for Tertiary Education

Indeed with free trade and regional or cluster-country agreements it is anticipated that franchising and twinning agreements will be a commonality with all tertiary educational institutions and could include secondary schools. As many of the traditional resources throughout the world are starting to diminish and the impact of technology introduces new ways of thinking or doing things the current quality assurance platform will require adjustment and change. It is anticipated that franchise and international twinning agreements will be globally inclusive because education services have now become a part of the global economyas a tradable commodity. New Zealand's education and quality assurance management processes are recognised as innovative and very high quality. In June 2007, New Zealand's request to accede to the Lisbon Convention on the Recognition of Qualifications was approved. This accession will help improve the understanding of the New Zealand

qualifications system and the recognition of New Zealand qualifications by other signatory countries.<sup>3</sup>

Summing up now, the process of quality assurance management requires that the benchmark or an established reference point addresses the principles as the mandatory standards; and will vary between and within the applicable industry. There is always a common denominator that underpins the benchmark reflecting the quality assurance management within a specified industry; where an organisation will set its own standards derived from the principles of the SSB. NZQA is the SSB for New Zealand qualifications registered on the NZF and provides the quality assurance benchmark for qualifications in New Zealand through a variety of education and training providers. Education in training providers include: Polytechnics, Private Training Enterprises (PTE's), Wānanga, Industry Training Organisations (ITO's) and secondary schools through the National Certificate in Educational Achievement (NCEA). The University Vice-Chancellors representatives also liaise to and report through the current Chief Executive Officer of NZQA Dr Karen Poutasi MD.

<sup>&</sup>lt;sup>3</sup>NZQA The New Zealand Register of Quality Assured Qualifications, May 2010, p. 4.

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